


# UCC-3 Amendment Filing Form<sup>12</sup>

A person may file a UCC-3 Amendment with the Secretary of State tracking changes to a UCC-1 for the following: (a) to continue a financing statement; (b) to amend a financing statement; (c) to terminate a financing statement; or (d) to assign a security interest.



## UCC-3

### UCC FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS

**A. NAME & PHONE OF CONTACT AT FILER (optional):** \_\_\_\_\_

**B. E-MAIL CONTACT AT FILER (optional):** \_\_\_\_\_

**C. SEND ACKNOWLEDGMENT TO: (Name and Address):**

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

**1a. INITIAL FINANCING STATEMENT FILE NUMBER:** \_\_\_\_\_  This FINANCING STATEMENT AMENDMENT is to be filed (for record) or recorded in the REAL ESTATE RECORDS. For UCC Amendment/Assignment from UCC#1, add provide Debtor's name in item 1b.

**2.  TERMINATION:** Effectiveness of the Financing Statement identified above is terminated with respect to the security interest(s) of Secured Party authorizing this Termination Statement.

**3.  ASSIGNMENT (all or partial):** Provide name of Assignee in item 7a or 7b, add address of Assignee in item 7c, complete of Assignee in item 8. For partial assignment, complete item 7 and 8 and also indicate affected collateral in item 8.

**4.  CONTINUATION:** Effectiveness of the Financing Statement identified above with respect to the security interest(s) of Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law.

**5.  PARTY INFORMATION CHANGE:**  
 Check  of these two boxes:  This Change affects  Debtor or  Secured Party of record. **AND** Check  of these three boxes to:  CHANGE name and/or address. Complete item 6a or 6b, add item 7a or 7b and item 7c.  ADD name. Complete item 7a or 7b, add item 7c.  DELETE name. (Use record name to be deleted in item 6a or 6b).

**6. CURRENTLY RECORD INFORMATION:** Complete for Party information change - provide only add name (7a or 7b).

**6a. ORGANIZATION'S NAME:** \_\_\_\_\_

**OR**

**6b. INDIVIDUAL'S SURNAME:** \_\_\_\_\_ **FIRST PERSONAL NAME:** \_\_\_\_\_ **ADDITIONAL NAME(S)/INITIALS:** \_\_\_\_\_ **SUFFIX:** \_\_\_\_\_

**7. CHANGED OR ADDED INFORMATION:** Complete for Assignment or Party Information Change - provide only add name (7a or 7b) (partial, full name, in current, newly, or alternate any part of the information).

**7a. ORGANIZATION'S NAME:** \_\_\_\_\_

**OR**

**7b. INDIVIDUAL'S SURNAME:** \_\_\_\_\_ **FIRST PERSONAL NAME:** \_\_\_\_\_ **ADDITIONAL NAME(S)/INITIALS:** \_\_\_\_\_ **SUFFIX:** \_\_\_\_\_

**7c. MAILING ADDRESS:** \_\_\_\_\_ **CITY:** \_\_\_\_\_ **STATE:** \_\_\_\_\_ **POSTAL CODE:** \_\_\_\_\_ **COUNTRY:** \_\_\_\_\_

**8.  COLLATERAL CHANGE:**  Check  of these four boxes:  ADD collateral  DELETE collateral  RESTATE covered collateral  ASSIGN collateral. Indicate collateral: \_\_\_\_\_

**9. NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT:** Provide only add name (8a or 8b) (name of Assignee, if this is an Assignment). If this is an Amendment authorized by a DEBTOR, check here  and provide name of authorizing Debtor.

**9a. ORGANIZATION'S NAME:** \_\_\_\_\_

**OR**

**9b. INDIVIDUAL'S SURNAME:** \_\_\_\_\_ **FIRST PERSONAL NAME:** \_\_\_\_\_ **ADDITIONAL NAME(S)/INITIALS:** \_\_\_\_\_ **SUFFIX:** \_\_\_\_\_

**10. OPTIONAL FILER REFERENCE DATA:** \_\_\_\_\_

SOUTH CAROLINA SECRETARY OF STATE'S OFFICE, 1206 PENDLETON STREET SUITE 528 COLUMBIA, SC 29201 (Rev. 07/01/13)

<sup>12</sup> S.C. House of Representatives, House Legislative Oversight Committee, “Agency Presentation – Business filings, information technology, and administration (December 5, 2019),” under “Committee Postings and Reports,” under “House Legislative Oversight Committee,” under “Secretary of State, Office of the,” and under “Meetings,” <https://www.scstatehouse.gov/CommitteeInfo/HouseLegislativeOversightCommittee/AgencyWebpages/SecretaryofState/SoS%20Presentation%20-%20Business%20Filings%20IT%20and%20Administration%20-%20PDF.pdf> (accessed January 21, 2020), slide 39. Hereinafter “Business Filings Presentation.”